## What did we learn from 200 and 62 GeV pp collisions at RHIC ?

A BRAHMS perspective


- Background.
- pp at 200 GeV
-Bulk properties
-results and comparisons to NLO pQCD.
- pp at 62 GeV
-Preliminary results and comparison to pQCD
-A BRAHMS unexpected benefit:
- Single Spin Asymmetries at 200 and 62 GeV


## Introduction

- Forward rapidity at RHIC collider $\sqrt{s}=200$ GeV offers insight into pp, p(d)A and AA in
- Low-x region (for target like $p, A$ )
- Probing larger $\mathrm{X}_{\mathrm{F}}$ region where kinematic constraints may be important.

Today's focus on pp collisions, which also serves as reference for HI data.

$$
\begin{gathered}
x_{1} \overbrace{x_{2}}^{x_{F}=x_{1}-x_{2}} \\
x_{1} x_{2}=\frac{m_{T}^{2}}{s} \\
x_{1} \sim \frac{m_{T}}{\sqrt{s}} e^{y} \quad x_{2} \sim \frac{m_{T}}{\sqrt{s}} e^{-y}
\end{gathered}
$$

## pp data and pQCD

- At mid-rapidity NLO pQCD works well for p0. This even down to lower energies.
- Question to ask is how well it works at more forward rapidities in view of previous failures?


Fig. 4. $E d^{3} \sigma / \mathrm{d}^{3} p$ at $\sqrt{s}=52.8 \mathrm{GeV}$, as a function of $x_{\mathrm{F}}$ for three different scattering angles. The data are from [20] and the curves are the corresponding NLO pQCD calculations with $\mu=p_{\mathrm{T}}$. The dotted-dashed curves are for $\mu=p_{\mathrm{T}} / 2$

## BRAHMS Experimental Setup

Mid Rapidity Spectrometer


BRAHMS is still at 2 o'clock, but will not run this year.

## I Time Of Flight Wall Multiplicity Arrays

 Beam-Beam Counters \& Zero Degree Calorimeters Time Projection Chamber Drift Chamber

The long 200 GeV pp run5 have resulted in high quality pp reference spectra at high rapidity.

Together with mid rapididity data look at net-p


Together with midrapididity data look at netprotons.

Despite larger systematic uncertainties better agreement with the baryon transport in Hijing/B


## Ratios $\mathrm{p} / \pi^{+}$at $\mathrm{y}=3.0$ and 3.3



The $\pi^{-} / \pi^{+}$ratio is consistent with dominance of valence quarks at these rapidities at the higher $\mathrm{p}_{\mathrm{T}}$.

Small $\bar{p} / p$ ratio eliminates possible strong gluon -> p or $\bar{p}$ fragmentation ( $\mathrm{p} / \mathrm{p} \sim 1$ )

The difference between protons and anti-protons indicates another mechanism besides fragmentation that puts so many protons at high pT.

## NLO pQCD comparisons to BRAHMS data



Calculations done by W. Vogelsang. Only one scale $\mu=p_{\mathrm{T}}$ and the same fragmentation functions as used for the PHENIX/STAR

KKP has only $\pi^{0}$ frag. Modifications were needed to produce charged pions

KKP FF does a better job compared to Kretzer, Pi and Kaon production still dominated by gg and gq at these rapidities apart from the highest $p_{T}$ comparisons.

## Another view of rapidity dependence .



Notice the significant change in shape due to available phase space

## pp at 62 GeV

Brahms had the last data taking during the two-week 62.4 GeV in June 2006.

The focus was on
Reference spectra for AuAu
Single Spin asymmetries.

Coverage for $\pi^{-}$at forward rapidities. Note the kinematic limits.


## Spectra

Near mid-rapidity
$\mathrm{Y} \sim 0$ and $\mathrm{y} \sim 1$
Spectra for pi,K and p using Time-of-flight. dn/dy for pi+ at y=0 compared to Alper et.al. ISR

## Averaged projection



## Particle Ratios

Proton, pion spectra and particle ratio $p / \pi$ at $\mathrm{y} \sim 0$. As know in pp the $\mathrm{p} / \pi$ saturates at $\sim 0.4$

Averaged projection


## P/pi y=0



## Pions at high rapidity



## Pion spectra compared to NLO pQCD

## Averaged projection



Comparison of NLO pQCD calculations (Vogelsang) with BRAHMS pi- data.
Calculation is for pi0, but $\pi^{+} / \pi^{0} \sim 1$ with $5 \%$ in range of pt - measured.

## High rapidity pp-> $\pi^{*}$

## Averaged projection



Comparison of NLO pQCD calculations (Vogelsang) with BRAHMS $\pi^{-}$data at high rapidity. The calculations are for KKP and a scale factor of $\mu=$ pt.
The agreement is surprisingly good. The kinematic cutoffs as moving to higher y is reproduced.

## Summary pp spectra

- At RHIC we now have identified charged particle production at high rapidity to large $\mathrm{p}_{\mathrm{T}}$
- NLO pQCD calculations describe the pion and kaon production with fragmentation functions known as mKKP. This agreement imply a dominance of gq and gg processes at these high rapidities as was the case for the measurements of neutral pions at mid-rapidity.
- The behavior of protons around $\mathrm{y}=3$ cannot be explained with NLO calculation and the abundance of protons (with respect to positive pions) at high $p_{T}$ is an open question clear related to baryon transport; Protons have larger mass-scale and larger number of constituents
- Even at 62.4 GeV the NLO pQCD describes the data at high rapidity. This is surprising in view of the previous studies (Soffer). It may be related to the kinematic range studied in our data.
- Large SSAs have been observed at forward rapidities in hadronic reactions:
E704/FNAL and STAR/RHIC
- SSA is suppressed in naïve parton models ( $\sim \alpha_{s} m_{q} / \mathrm{Q}$ )
- Non-zero SSA at partonic level requires
- Spin Flip Amplitude, and
- Relative phase
- SSA: Unravelling the spinorbital motion of partons?




## Beyond Naïve Parton Models to accommodate large SSA

- Spin and Transverse-Momentum-Dependent parton distributions
-"Final state" in Fragmentation (Collins effect),
-"Initial state" in PDF (Sivers effect)
- Twist-3 matrix effects
-Hadron spin-flip through gluons and hence the quark mass is replaced by $\Lambda_{\text {QCD }}$
-Efremov, Teryaev (final state)
-Qiu, Sterman (initial state)
- Or combination of above
-Ji, Qiu, Vogelsang, Yuan...
Challenge to have a consistent partonic description:
-Energy dependent SSA vs. $\mathrm{x}_{\mathrm{F}}, \mathrm{p}_{\mathrm{T}}$,
-Flavor dependent SSA
-Cross-section


## SSA measurements in $p^{\uparrow}+p=\pi / K / p+X$ at $200 / 62 \mathrm{GeV}$

BRAHMS measures identified hadrons ( $\pi, \mathrm{K}, \mathrm{p}, \mathrm{pbar}$ ) in the kinematic ranges of
$-0<x_{F}<0.35$ and $0.2<p_{T}<3.5 \mathrm{GeV} / \mathrm{c}$ at $\sqrt{ } \mathrm{s}=200 \mathrm{GeV}$
$-0<x_{\mathrm{F}}<0.6$ and $0.2<\mathrm{p}_{\mathrm{T}}<1.5 \mathrm{GeV} / \mathrm{c}$ at $\sqrt{ } \mathrm{s}=62 \mathrm{GeV}$ for

- $x_{\mathrm{F}}, \mathrm{p}_{\mathrm{T}}$, flavor, $\sqrt{ } \mathrm{S}$ dependent SSA
- cross-section of un-polarized hadron production (constraint for theoretically consistent description)
Data:
- Run-5: $\sqrt{ } \mathrm{s}=200 \mathrm{GeV} 2.5 \mathrm{pb}^{-1}$ recorded (45-50\% of polarization)
- Run-6: $\sqrt{ } \mathrm{s}=62 \mathrm{GeV} 0.21 \mathrm{pb}^{-1}$ recorded ( $45-65 \%$ )

Data from Forward Spectrometer at 2.3-4 deg. covering "high"- $\mathrm{X}_{\mathrm{F}}\left(0.15<\mathrm{X}_{\mathrm{F}}<0.6\right)$ are presented.

## Determination of Single Spin Asymmetry: $\mathbf{A}_{\mathbf{N}}$

- Asymmetries are defined as

$$
\mathrm{A}_{\mathrm{N}}=\left(\sigma^{+}-\sigma^{-}\right) /\left(\sigma^{+}+\sigma^{-}\right)=\varepsilon / \mathcal{P}
$$

- For non-uniform bunch intensities

$$
\begin{aligned}
& \varepsilon=\left(\mathrm{N}^{+} / \mathcal{L}^{+}-\mathrm{N}^{-} / L^{-}\right) /\left(\mathrm{N}^{+} / \mathcal{L}^{+}+\mathrm{N}^{-} / \mathcal{L}^{-}\right) \\
&=\left(\mathrm{N}^{+}-\mathcal{L}^{*} \mathrm{~N}\right) /\left(\mathrm{N}^{+}+\mathcal{L}^{*} \mathrm{~N}^{-}\right) \\
& \text {地 }=\text { relative luminosity }=\mathcal{L}^{+} / \mathcal{L}^{-}
\end{aligned}
$$

and the yield of in a given kinematic bin with the beam spin direction is $\mathrm{N}^{+}$(up) and $\mathrm{N}^{-}$(down).

- Most of the systematics in $\mathrm{N}^{+} / \mathrm{N}^{-}$cancel out
- Uncertainties on relative luminosity $\mathcal{L}$ estimated to be < 0.3\%
- Beam polarization $\mathscr{P}$ from on-line measurements:
systematic uncertainty of $\sim 18 \%$
- Overall systematic error on $A_{N}$ : ~ 25\%-30\%

BRAHMS FS Acceptance at 2.3 deg. and 4 deg. /Full Field (7.2 Tm) at $\sqrt{ } \mathrm{s}=\mathbf{2 0 0} \mathbf{~ G e V}$


- Strong $x_{F}-p_{T}$ correlation due to limited spectrometer solid angle acceptance


## Calculations compared at the BRAHMS kinematic region

- Twist-3 parton correlation calculation provide by F. Yuan
- Kouvarius, Qiu, Vogelsang, Yuan
- "Extended" with non-derivative terms ("moderate" effects at BRAHMS kinematics)
- Two flavor ( $u, d$ ) and valence+sea+antiquarks Fits
- Sivers effect calculation provided by U. D'Alesio
- Anselmino, Boglione, Leader, Melis, Murgia
"Sivers effect with complete and consistent $\mathrm{k}_{\mathrm{T}}$ kinematics plus description of unpolarized cross-section"
These models describe the low energy data reasonably well.


## $A_{N}(\pi)$ at 2.3 deg. at $\sqrt{ } \mathbf{s}=200 \mathrm{GeV}$



- $A_{N}\left(\pi^{+}\right)$: positive $\sim(<) A_{N}\left(\pi^{-}\right)$: negative: $4-6 \%$ in $0.15<x_{F}<$ 0.3
$A_{N}(\pi)$ at 2.3 deg. at $\sqrt{ } \mathbf{s}=200 \mathrm{GeV}$ compared with Twist-3


Solid lines: two-flavor ( $u, d$ ) fit
Dashed lines: valence + sea, anti-quark
Calculations done only for $\left\langle\mathrm{p}_{\mathrm{T}}(\tau)\right\rangle>1 \mathrm{GeV} / \mathrm{c}$

## $A_{N}(\pi)$ at 2.3 deg. at $\sqrt{s}=200 \mathrm{GeV}$ compared with Sivers effect



## $A_{N}(K)$ at 2.3 deg at $\sqrt{ } \mathbf{s}=200 \mathrm{GeV}$



- $A_{N}\left(K^{+}\right) \sim A_{N}\left(K^{-}\right)$: positive $2-5 \%$ for $0.15<x_{F}<0.3$
- If main contribution to $A_{N}$ at large $X_{F}$ is from valence quarks: $A_{N}\left(\mathrm{~K}^{+}\right) \sim \mathrm{A}_{\mathrm{N}}\left(\pi^{+}\right)$, $A_{N}\left(K^{-}\right) \sim 0$ : disagreement with naïve expectations


## $\mathrm{A}_{\mathrm{N}}(\mathrm{K})$ at 2.3 deg at $\sqrt{\mathrm{s}}=\mathbf{2 0 0} \mathrm{GeV}$ compared with Twist-3



Solid lines: two-flavor ( $u, d$ ) fit
Dashed lines: valence + sea, anti-quark
Calculations done only for $\left\langle\mathrm{p}_{\mathrm{T}}(\tau) \gg 1 \mathrm{GeV} / \mathrm{c}\right.$

## Kinematic coverage at $\sqrt{s}=62 \mathrm{GeV}$ (FS at 2.3 and 3 deg)



## $A_{N}(\tau)$ at $\sqrt{s}=62 \mathrm{GeV}$



- Large $A_{N}(\pi): 40 \%$ at $x_{F} \sim 0.6 p_{T} \sim 1.3 \mathrm{GeV}$
- Strong $x_{F}-p_{T}$ dependence ("Alligator")
- $\left|A_{N}\left(\pi^{+}\right) / A_{N}\left(\pi^{-}\right)\right|$decreases with $x_{F}-\mathrm{P}_{\mathrm{T}}$


## $A_{N}(K)$ at $\sqrt{s}=62 \mathrm{GeV}$



## $A_{N}(K)$ at $\sqrt{s}=62 \mathrm{GeV}$ compared with Twist-3



## Summary

BRAHMS measures $A_{N}$ of identified hadrons at 62 GeV and 200 GeV

- P, K cross-section at 200 GeV described by NLO pQCD . AT 62 GeV intriguing results showing that pQCD may actaully still be valid at large $y$.
- Large SSAs seen for pions and kaons


## Suggesting:

- Sivers mechanism plays an important role.
- described (qualitatively) by Twist-3
- main contributions are from leading (favored) quarks
- power-suppression $1 / p_{\mathrm{T}}$ set the scale


## Questioning:

- where the large positive $A_{N}\left(K^{-}\right)$come from then?
- Sea quark contributions not well understood: $A_{N}(K-)$ and $A_{N}(p b a r)$
- how well pQCD applicable at 62 GeV
(cross-sections at 62 GeV will be delivered)
- what can (not) be learned from $A_{N}$ at $p_{T}<1 \mathrm{GeV} / \mathrm{c}$
$-A_{N}\left(-x_{F}\right) \sim 0$ set limits on Sivers-gluon contribution?
- can $A_{N}(p, p b a r)$ be described in the consistent framework?
- What are the theoretical uncertainties, $\mathrm{pT} \sim 1 \mathrm{GeV}$ valid for QCD description?


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